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## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In it application of

Docket No: Q62753

Takao YAMADA, et al.

Appln. No.: 09/764,078

Group Art Unit: 2616

Confirmation No.: 6798

Examiner: Jamie J. Vent

Filed: January 19, 2001

For:

AUDIO AND VIDEO REPRODUCTION APPARATUS, AND AUDIO AND VIDEO

REPRODUCTION METHOD

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/764,078

Attorney Docket No. Q62753

prosecution in the application (whichever is earlier), and therefore Applicant is filing

concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p)

is required.

Applicant also encloses herewith a copy of a European Search Report, dated August 26,

2005, in a counterpart application citing such documents.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 52,432

Lenny R. Jiang

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: October 31, 2005

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Substitute for Form 1449 A & B/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICAN

(use as many sheets as necessary)

Sheet	1	of	1

Сотр	olete if Known
Application Number	09/764,078
Confirmation Number	6798
Filing Date	January 19, 2001
First Named Inventor	Takao YAMADA
Art Unit	2616
Examiner Name	Jamie J. Vent
Attorney Docket Number	Q62753

·	U.S. PATENT DOCUMENTS					
	Cite	Document 1	Document Number		· · · · · · · · · · · · · · · · · · ·	
	No.1	Number	Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
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FOREIGN PATENT DOCUMENTS							
Examiner Cite No.1	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		EP	0 602 943	A2	06-22-1994	Sony Corporation	Yes
		ЕР	0 823 817	A2	02-11-1998	Matsushita Electric Industrial Co., Ltd.	Yes
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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*				
		Patent Abstracts of Japan, Vol. 1999, no. 11, September 30, 1999 & JP 11 149717 A (Toshiba Corp), June 2, 1999.	Yes	
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Examiner Signature	Í	Date Considered	i	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.



#### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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REPRODUCTION METHOD

# STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

SUGHRUE MION, PLLC

Telephone: (202) 293-7060 Facsimile: (202) 293-7860

washington office 23373 customer number

Date: October 31, 2005

Lenny R. Jiang

Registration No. 52,432